

KNOW YOUR CLIENT (KYC) UPDATE FORM – LEGAL ENTITY

Investor Details

Legal Entity Name		Client No.	
Business Address			
Contact Person Name & Surname			
Contact no			
E-mail Address			

Source of Income

Please select and complete all the applicable Source of Income fields below.		
Source of Income Type	Documents Required	Amount (monthly)
<input type="checkbox"/> Business Income/ Company Profits	<ul style="list-style-type: none"> • A copy of the company's latest audited financial statements or; • Latest management accounts or; • Letter from the accountants stipulating the annual turnover and net profit for the year 	N\$
<input type="checkbox"/> Shares Sold	<ul style="list-style-type: none"> • Copy of the company's shares sales agreement • Copy of the Contract Note (if listed shares) 	N\$
<input type="checkbox"/> Rental Income	<ul style="list-style-type: none"> • A copy of the property rental agreement 	N\$
<input type="checkbox"/> Dividends	<ul style="list-style-type: none"> • Share Certificate or; • Company Status report 	N\$
<input type="checkbox"/> Investments	<ul style="list-style-type: none"> • Investment statement. 	N\$
<input type="checkbox"/> Donations	<ul style="list-style-type: none"> • Donation Letter signed by donor explaining the nature of the donation and the Proof of payment made by the donor 	N\$
<input type="checkbox"/> Other	<ul style="list-style-type: none"> • Please specify 	N\$
Total Source of Income		N\$

Investor Declaration

I, herewith declare that all information provided above by me is true and correct. I acknowledge that the Administrator (Capricorn Asset Management) may report any information provided by me to the Financial Intelligence Centre.

Signature of Investor/Financial Advisor _____ Signed at _____ Date _____