

## ENHANCED DUE DILIGENCE

### Investor Details

Surname		Title	
Full Name(s)		Client No.	
Entity Name (if applicable)			

### Primary Source of Income

<input type="checkbox"/> Salary	
<input type="checkbox"/> Business Income	
<input type="checkbox"/> Other (please specify)	
Expected Monthly Turnover	N\$

### Additional Sources of Income

Source	Amount
	N\$
	N\$
	N\$
	N\$
	N\$

### Additional Documents Required

#### Individuals

- Proof of residential address  
 Proof of income i.e. salary slip, retirement/pension fund letter, financial statements for business income  
 Originally certified copy **no older than 3 months** of any of the following:
  - Namibian ID
  - Namibian Driver's Licence (new licence rolled out since 2022 displaying full personal details)
  - Namibian passport (with minimum 6 months validity remaining)
  - Foreign passport (with minimum 6 months validity remaining and includes visa entry stamp, work visa or residency stamp).

### Investor Declaration

I, herewith declare that all information provided above by me is true and correct. I acknowledge that Capricorn Asset Management may report any information provided by me to the Financial Intelligence Centre.

Signature of Investor \_\_\_\_\_ Signed at \_\_\_\_\_ Date \_\_\_\_\_

#### For Bank Windhoek Branches/Broker House/CPW/CAM Official Use Only:

(Please email to [cam.service@capricorn.com.na](mailto:cam.service@capricorn.com.na) and send the original to the Administrator's Office)

I the undersigned confirm that I have identified & verified the investor.	To be completed by Bank Windhoek Branch/ Financial Advisor/ CAM Official/ CPW Official
Bank Windhoek Branch / Broker House	
Bank Windhoek Official Name / Advisor Name / CAM Official Name	
Employee no / Broker code	
Contact Number	
Signature of Bank Windhoek Official / Advisor / CAM Official	