

DIGITAL CHANNELS REGISTRATION FORM – LEGAL ENTITY

When you should use this form

This form is only used should an existing Legal Entity investor (Trust, CC, Company) want to register for the **Capricorn Online** and/or **Bank Windhoek Electronic Channels** (including the Bank Windhoek/Capricorn Private Wealth Mobile App and Internet Banking Platforms) to have viewing and transactional capability on their investments.

Important Information

1. Capricorn Asset Management is the Administrator of the investment platform.
2. Please refer to the **Investment platform fund summary** and the **Investment platform terms and conditions** for all information regarding the Administrators' processes, fees and the funds on offer. These documents are available at any Bank Windhoek branch, your financial advisor, or via our website at www.cam.com.na. For any queries contact our Sales & Channel support at (+264 61) 299 1950 or via email at cam.info@capricorn.com.na.
3. This instruction may be submitted to your financial advisor, handed in at any Bank Windhoek branch, at the offices of the Administrator at 3rd Floor Capricorn Corner, c/o Nelson Mandela and Hofmeyer Street, Klein Windhoek, Windhoek or via email at cam.service@capricorn.com.na.
4. **Upon verification by the Administrator, the investor will receive an email to complete the Capricorn Online registration process.**
5. **Only the Bank Windhoek account indicated on our records as the Nominated Bank Account of the Legal Entity will be used to link the Capricorn investment portfolio to the electronic channels.**
6. The cut-off time for all web transactions is 12:00. All transactions received after this cut-off time will be processed on the next business day.

Investor Details

| | | | |
|---|--|---|-----------------------------|
| Legal Entity Name | | Client No. | |
| Do you want access to Capricorn Online? (Provides Full Access) | | <input type="checkbox"/> Yes ¹ | <input type="checkbox"/> No |
| Do you want access to Bank Windhoek Electronic Channels? (Provides Limited Access) | | <input type="checkbox"/> Yes ² | <input type="checkbox"/> No |

¹Complete the below information of the individual(s) who will access the Legal Entity's Online Login

| | | | |
|---|--|--------------------------------------|--|
| Name & Surname | | ID Nr/ Passport | |
| Email | | Cell nr | |
| <input type="checkbox"/> Viewing/Information mandate only | <input type="checkbox"/> Transaction Rights (Full mandate) | <input type="checkbox"/> Remove user | |
| Name & Surname | | ID Nr/ Passport | |
| Email | | Cell nr | |
| <input type="checkbox"/> Viewing/Information mandate only | <input type="checkbox"/> Transaction Rights (Full mandate) | <input type="checkbox"/> Remove user | |
| Name & Surname | | ID Nr/ Passport | |
| Email | | Cell nr | |
| <input type="checkbox"/> Viewing/Information mandate only | <input type="checkbox"/> Transaction Rights (Full mandate) | <input type="checkbox"/> Remove user | |
| Name & Surname | | ID Nr/ Passport | |
| Email | | Cell nr | |
| <input type="checkbox"/> Viewing/Information mandate only | <input type="checkbox"/> Transaction Rights (Full mandate) | <input type="checkbox"/> Remove user | |

Financial Advisor Capricorn Online Access (if applicable)

| | | | |
|---|--|--------------------------------------|--|
| Financial Advisor Name | | Advisor Code | |
| Practice/Company Name | | | |
| <input type="checkbox"/> Viewing/Information mandate only | <input type="checkbox"/> Transaction Rights (Full mandate) | <input type="checkbox"/> Remove user | |

²Bank Account details on which electronic channels are linked

| | | | |
|------------------------------|--|------------------------------|--|
| Bank Windhoek Account Holder | | Bank Windhoek Account Number | |
|------------------------------|--|------------------------------|--|

Complete the below information only if the Legal Entity Account is linked to an individual's electronic channels

| | | | |
|----------------|--|-----------------|--|
| Name & Surname | | ID Nr/ Passport | |
| Email | | Cell nr | |

Investor Declaration

- By my signature to this application form I acknowledge that I have been made aware of, understand and accept –
 - That all previous declarations made by me as well as the latest Capricorn investment platform terms and conditions and Bank Windhoek Application End-User Licence Agreement (the “Terms”) will remain binding upon me.
 - That the Administrator has the right, without prior notice to me, to change, modify, add to or remove from portions or the whole of the Terms from time to time. Changes to the Terms will become effective upon such changes being posted on the Website.

Signature of Duly Authorised Signatory(ies) _____ Signed at _____ Date _____

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Signature of Duly Authorised Signatory(ies) _____ Signed at _____ Date _____

| For Bank Windhoek Branches/Broker House/CPW/CAM Official Use Only: (Please email to cam.service@capricorn.com.na and send the original to the Administrator’s Office) | |
|--|--|
| I the undersigned confirm that I have identified & verified the investor. | To be completed by Bank Windhoek Branch/ Financial Advisor/ CAM Official/ CPW Official |
| Bank Windhoek Branch / Broker House | |
| Bank Windhoek Official Name / Advisor Name / CAM Official Name | |
| Employee no/ Broker Code | |
| Contact Number | |
| Signature of Bank Windhoek Official / Advisor / CAM Official | |
| <input type="checkbox"/> Allow E-Trade switched on | <input type="checkbox"/> Account Purpose Type switched on |
| | <input type="checkbox"/> Capricorn Online Login |